

STATEMENT UNDER 37 CFR 3.73(B)Applicant/Patent Owner: Yinghui Dan, Tichafa R. I. Munyikwa, Kimberly A. Rayford and Caius M. T. RommensApplication No./Patent No. 10/715,910Filed/Issue Date: November 18, 2003Entitled: USE OF LIPOIC ACID IN PLANT CULTURE MEDIAMonsanto Technology LLC

(Name of Assignee)

, a corporation

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 014434, Frame 0546, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

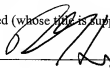
1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.
[NOTE: A separate copy (*i.e.*, a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.081]

The undersigned (whose ~~name~~ is supplied below) is authorized to act on behalf of the assignee.


Signature

January 9, 2007

Date

Robert E. Hanson, Reg. No. 42,628

Printed or Typed Name

(512) 474-5201

Telephone Number

Attorney

Title

MONS:146US

File Code

RECORDATION FORM COVER SHEET
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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

See Attached

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: See Attached

2. Name and address of receiving party(ies):

Name: Monsanto Technology LLC

Internal Address: 800 North Lindbergh

St. Louis, Missouri 63167

Street Address: 800 North Lindbergh

City: St. Louis State: MO ZIP: 63167

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

10/715,910

60/427,437

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Gail Wuellner, Thomas P. McBride

Internal Address: Monsanto Company

800 North Lindbergh, Mail Zone E2NA

St. Louis, Missouri 63167

Street Address: 800 North Lindbergh

Mail Zone E2NA

City: St. Louis State: MO ZIP: 63167

6. Total number of applications and patents involved: 2

7. Total fee (37 CFR 3.41) \$ 80.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

13-4125

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Thomas P. McBride

Name of Person Signing

[Signature]
Signature

16 May 04
Date

Total number of pages including cover sheet, attachments, and document: 5

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

Name of Conveying Parties:**Execution Date:**

Yinghui Dan

March 3, 2004

Tichafa R. I. Munyikwa

March 8, 2004

Kimberly A. Rayford

March 14, 2004

Caius Rommens

March 4, 2004

ASSIGNMENT

WHEREAS, WE, the undersigned, have made, and have an obligation to assign to Monsanto Technology LLC, certain invention or inventions which are disclosed in patent application(s) and/or provisional patent application(s) entitled:

Use of Lipoic Acid in Plant Culture Media

identified as U.S. Provisional Serial No. 60/427,437 and filed on November 19, 2002; and U.S. Application Serial No. 10/715,910 and filed on November 18, 2003.

WHEREAS, Monsanto Technology LLC, of St. Louis, Missouri, a Corporation of the State of Delaware, is desirous of acquiring the entire right, title and interest in and to said invention or inventions and any and all patents to be obtained therefor;

NOW, THEREFORE, FOR GOOD AND VALUABLE CONSIDERATION, the receipt of which is hereby acknowledged, WE do hereby sell, assign and transfer to said Monsanto Technology LLC, its successors and assigns, the entire right, title and interest in and to said invention or inventions, in any form or embodiment thereof, and in and to said application(s); and in and to any and all applications filed in any country based thereon, including the right to file applications in countries other than the country of priority filing under the provisions of any international convention; also in and to any and all improvements on said invention or inventions now or hereafter made by us as employee(s), agent(s) or contractor(s) of said Monsanto Technology LLC; also the entire right, title and interest in and to any and all patents, including reissues and extensions thereof, to be obtained in any country upon said invention, inventions or improvements, and any and all continuing applications, including divisional, continuation and continuation-in-part applications, substitute applications, and applications claiming benefit of an earlier filed provisional application, which may be filed upon said invention, inventions or improvements in any country; and

WE hereby authorize and request the issuing authority to issue any and all patents on said application or applications to said Monsanto Technology LLC, as assignee of the entire interest.

WE further agree, without any payment by Monsanto Technology LLC other than in reimbursement of reasonable expenses I/we may incur, to communicate to said Monsanto Technology LLC, its representatives, agents or assignees of the entire right, title and interest hereto, any facts relating to said invention, inventions or improvements, including evidence for purposes of interference, opposition or other legal proceedings, whenever requested; testify in any interference, opposition or other legal proceedings, whenever requested; and execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective.

IN TESTIMONY WHEREOF, WE have hereto set our hands on the dates set after our signatures.

Signature: [Signature]

Date: 3/3/04

Name: Yinghui Dan

City and state or country of residence: Raleigh, North Carolina 27613

State of Virginia }
County of Montgomery } ss.

On this 3 day of march, 2004, before me personally appeared Yinghui Dan, to me known to be the person who executed the foregoing instrument and acknowledged that he/she executed the same as his/her free act and deed; in testimony whereof I have hereto set my hand and official seal on the day last above written.

(seal)

[Signature]
Notary Public or Consular Officer

My Commission expires August 21, 2006.

Signature: [Signature]

Date: 03/08/04

Name: Tichafa R. I. Muniyikwa

City and state or country of residence: Ballwin, Missouri

State of Missouri }
County of St. Louis } ss.

On this 8th day of March, 2004, before me personally appeared Tichafa R. I. Muniyikwa, to me known to be the person who executed the foregoing instrument and acknowledged that he/she executed the same as his/her free act and deed; in testimony whereof I have hereto set my hand and official seal on the day last above written.

(seal)

[Signature]
Notary Public or Consular Officer

My Commission expires 7/13/2005



Signature: Kimberly A. Rayford

Date: 3/14/04

Name: Kimberly A. Rayford

City and state or country of residence: St. Louis, Missouri

State of Missouri }
 } ss.

County of St. Louis }

On this 14th day of March, 2004, before me personally appeared Kimberly A. Rayford, to me known to be the person who executed the foregoing instrument and acknowledged that he/she executed the same as his/her free act and deed; in testimony whereof I have hereto set my hand and official seal on the day last above written.

(seal)

Patricia A. Little
 Notary Public or Consular Officer

My Commission expires _____

PATRICIA A. LITTLE
NOTARY PUBLIC STATE OF MISSOURI
ST. LOUIS COUNTY
 MY COMMISSION EXP. JAN. 2, 2005

Signature: Caius Rommens

Date: 3.4.04

Name: Caius Rommens

City and state or country of residence: Boise, Idaho

State of Idaho }
 } ss.

County of Ada }

On this 4th day of March, 2004, before me personally appeared Caius Rommens, to me known to be the person who executed the foregoing instrument and acknowledged that he/she executed the same as his/her free act and deed; in testimony whereof I have hereto set my hand and official seal on the day last above written.

(seal)

Jennifer Donovan
 Notary Public or Consular Officer

My Commission expires 5/31/08

